FY 01 General Officer Results

ALLEGATIONS OF

SENIOR OFFICIAL

MISCONDUCT OR IMPROPRIETY

- **888 (517 GO) allegations received in FY01**
- **Approximately 35% are anonymous**
- **21% go to formal investigation**
- **7% of allegations are substantiated**
- **30% of substantiated allegations began as anonymous**

	AC	ARNG	USAR	SES	TOTAL
Population	119	253	930	365	193
Informal Inquiry (24%)	82 (22%)	70 (36%)	47 (39%)	27 (11%)	226
Formal Investigation	30 (8%)	26 (13%)	19 (16%)	8 (3%)	83 (9%)

What gets General Officers in Trouble

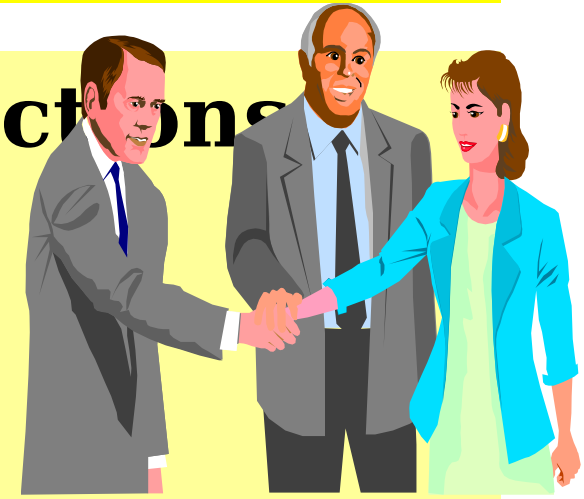
- **Abuse of Authority or Position:**
- Failure to investigate
- Failure to take corrective actions
- Unlawful command influence
- Preferential treatment
- Improper support to private organizations

What gets General Officers in Trouble (contd.)

- **Fraud, waste, and abuse:**
- Unnecessary/ excessive travel
- Questionable conferences
- Gold plated renovations
- Improper use of resources
- Double payments
- Cellular telephones

What gets General Officers in Trouble (contd.)

- **Improper personnel actions**
  - Unequal treatment
  - Pre-selection
  - Failure to provide due process
  - Reprisal
  - Attempting to influence selection boards



What get General Officers in Trouble (contd.)

- Personal misconduct:
  - APFT
  - Sexual misconduct
  - Abusive profane langua
  - Sexual harassment
  - Fraternization
  - Awards
  - Gifts and perks

What gets Senior Executives in Trouble

- Improper travel/TDY:
- Arranging TDY for personal business or visits to

  friends/relatives
- Filing false/inaccurate TDY vouchers

- Improper hiring practices:
- Pre-selection

What gets Senior Executives in Trouble (contd.)

- Fraudulent claims:
  - Fraudulently obtaining pay
  - False statements
  - Reporting incorrect educational level
- Favoritism
  - Authorizing unnecessary training
  - Promotion or transfer
  - Preferential treatment
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Root Causes

- Improper acts by overzealous assistance's, Chief of Staff, and/or staff members
- Unfamiliarity with Standards of Conduct rules
- Ignoring advise of Ethics/Legal Counsel and IG
- Creating climate the impedes 2-way communication